## DTO3 Rec'd PCT/PTO 2 7 DEC 2004

**EXPRESS MAIL LAY** NO. EV352377100US DATE OF DE Γ: December 27, 2004

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A NATIONAL STAGE FILING UNDER 35 U.S.C. § 371 U.S. APPRICATION NO. (IL 1700 SECTION N

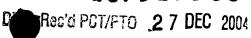
ATTORNEY'S DOCKET NUMBER 4239-66176-05

INTERNATIONAL APPLICATION NO. PCT/US2003/020367  INTERNATIONAL FILING DATE June 26, 2003  PRIORITY DATE OF June 28, 2002  TITLE OF INVENTION HUMANIZED ANTI-TAG 72 CC49 FOR DIAGNOSIS AND THERAPY OF HUMAN TUMORS  APPLICANT(\$) FOR DO/EO/US Syed V.S. Kashmiri, Jeffrey Schlom and Eduardo A. Padlan  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.   This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.  This is an express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1). Items 5, 6, 9 and 21 indicated below are submitted to make this express request.  4.   The United States has been elected in a Demand for International Preliminary Examination (Article 31).								
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time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1). Items 5, 6, 9 and 21 indicated below are submitted to make this express request.  4.   The United States has been elected in a Demand for International Preliminary Examination (Article 31).	·							
4. The United States has been elected in a Demand for International Preliminary Examination (Article 31).								
5. A copy of the International Application as filed (35 U.S.C. § 371(c)(2))								
a. \(\sigma\) is attached hereto (required only if not communicated by the International Bureau).								
b.   has been communicated by the International Bureau.								
c.  is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. An English-language translation of the International Application (35 U.S.C. § 371(c)(2)).								
a. ☐ is attached hereto.								
b.  has been previously submitted under 35 U.S.C. 154(d)(4).								
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))								
a. are attached hereto (required only if not communicated by the International Bureau to the United Stat	ates Receiving Office)							
b.   have been communicated by the International Bureau.	nes receiving Office).							
c.  have not been made; however, the time limit for making such amendments has NOT expired.								
d. \( \sum \) have not been made and will not be made.								
8. An English-language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(	<del>-</del>							
	An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)).  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36							
(35 U.S.C. § 371(c)(5)).	An English-language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).							
Items 11 to 21 below concern document(s) or information included:								
11. An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98 and a Form PTO-1449.								
12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 Recordal fee of \$40.00 are included.	An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$40.00 are included.							
13. A preliminary amendment.								
14. An Application Data Sheet under 37 C.F.R. § 1.76.								
15. A substitute specification.								
16. A Power of Attorney signed by Syed V.S. Kashmiri; a Power of Attorney signed by Jeffrey Schlom; a Power of Signed by Eduardo A. Padlan; and a General Power of Attorney signed by a representative of The Government States of America, as represented by the Secretary, Department of Health and Human Services.	of Attorney nt of the United							
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. §§ 1.821 paper copy of the sequence listing (7 pages); and a Statement in Compliance With 37 C.F.R. § 1.821(f).	21 - 1.825; a							
18. A second copy of the published International Application under 35 U.S.C. § 154(d)(4).								
19. A second copy of the English-language translation of the international application under 35 U.S.C. § 154(d)(4)	A second copy of the English-language translation of the international application under 35 U.S.C. § 154(d)(4).							
20. ☑ Other items or information:								

EXPRESS MAIL LAY NO. EV352377100US DATE OF DE. T: December 27, 2004

			1.						
U.S. APPLICATION NOT VERY SECOND OF THE SECOND OF THE PROPERTY						4239-66176-05			
21. The following		\$ 300.00							
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						\$ 200.00			
☑ c) Search fee\$500.00						\$ 500.00			
TOTAL OF ABOVE CALCULATIONS =\$1000.00  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence						\$ 1000.00			
Additional fee listing or comp 50 sheets of pa	puter prograr								
Total Sheets			Number of each additional 50 or fraction thereof (round up to a whole number)		RATE				
85 - 100	0 /50	=	0		x \$250	\$ 0.00			
Surcharge of \$130 claimed priority da		\$ 0.00							
CLAIMS	NI	JMBER FILED	NUMBER EXTRA	RATE					
Total claims		30 - 20 =	10	x \$50.00	)	\$ 500.00			
Independent Clai	ms	3 - 3 =	0	x \$200.0	0	\$ 0.00			
MULTIPLE DEF	PENDENT C	LAIM(S) (if appl	icable)	+ \$360.0	00	\$ 0.00			
			TAL OF ABOVE CA			\$ 1500.00			
☐ Reduction of 1/2 for filing by small entity. Small entity status is claimed for this application.						\$ 0.00			
SUBTOTAL =						\$ 1500.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)).						\$ 0.00			
TOTAL NATIONAL FEE =						\$ 1500.00			
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. +						\$ 40.00			
TOTAL FEES ENCLOSED =						\$ 1540.00			
						Amount to be refunded	\$		
						Amount to be charged	\$		
a. A check in the amount of \$ 1,540.00 to cover the above fees is enclosed.									
_									
NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO THE ADDRESS ASSOCIATED WITH						Carles			
CUSTOMER NUMBER 36218									
Anne Carlson   NAME									

cc: Docketing



AC/SAS:gte 12/27/04 334662.dd **PATENT** 

EXPRESS MAIL LABEL NO. EV352377100US Date of Deposit: December 27, 2004 Attorney Reference Number 4239-66176-05

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited

with the United States Postal Service as Express Mail Label No. EV352377100US in an envelope addressed to: MAIL

STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX

1450, ALEXANDRIA, VA 22313-1450 on the date shown

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kashmiri et al.

Application No. Not yet assigned

Filed: Herewith

Confirmation No. Not yet assigned

**HUMANIZED ANTI-TAG 72 CC49 FOR** 

DIAGNOSIS AND THERAPY OF HUMAN

**TUMORS** 

Examiner: Not yet assigned Art Unit: Not yet assigned

Attorney Reference No. 4239-66176-05

for Applicant(s)

below.

Agent

Date Mailed December 27, 2004

MAIL STOP PCT COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

## STATEMENT IN COMPLIANCE WITH 37 C.F.R. § 1.821(f)

In compliance with 37 C.F.R. § 1.821(f), the undersigned declares that the nucleotide and/or amino acid sequences presented in the paper copy of the "Sequence Listing" submitted herewith are the same as the sequences contained in the computer-readable form of said "Sequence Listing." No new matter has been added.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Anne Carlson, Ph.D.

Registration No. 47,472

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Portland, Oregon 97204

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